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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/820,412	04/08/2004	Wang	250908-1280

CONFIRMATION NO. 7809

## FORMALITIES LETTER



\*OC000000013416645\*

24504  
THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP  
100 GALLERIA PARKWAY, NW  
STE 1750  
ATLANTA, GA 30339-5948

Date Mailed: 08/03/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

*Hee*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## CERTIFICATE OF MAILING

I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450**

on Aug. 9, 2004.

Hui Chin Barnhill  
Hui Chin Barnhill

In Re Application Of: Wang et al.

Group No.: 2176

Serial No.: 10/820,412

Docket No. 250908-1280

Filed: April 8, 2004

Confirmation No.: 7809

For: Document Processing Method

The following is a list of documents enclosed:

Return Postcard  
Response to Missing Parts  
Tab A and B  
Copy of Notice of Missing Parts – Filing Date Granted



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of: Wang et al.

Group No.: 2176

Serial No.: 10/820,412

Docket No. 250908-1280

Filed: April 8, 2004

Confirmation No.: 7809

For: Document Processing Method

**RESPONSE TO MISSING PARTS NOTICE**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

On August 3, 2004, the Patent Office mailed a Notice to File Missing Parts in the above-referenced patent application. The Undersigned respectfully submits that the Notice was mailed in error, and as such should be withdrawn with no fee being charged to the Applicant.

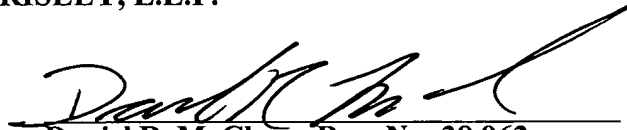
Attached hereto (Tab A) is a photocopy of the Declaration that was filed with the original application in the above-referenced patent application. The Declaration, as filed, is fully executed by all inventors and complies with the requirements of 37 CFR. Also attached hereto (at Tab B) are photocopies of the certificate of express mail filed with the above-referenced patent application, as well as the return postcard received from the USPTO. Both of these documents evidence that an executed Declaration was enclosed with the original filing of this patent application. Accordingly, it appears that the Notice to File Missing Parts was mailed in error as a fully-compliant Declaration was filed with this application.

Since a photocopy of the original Declaration accompanies this Response, no additional information is believed to be required by the U.S. Patent and Trademark Office in order to begin examination of the above-referenced patent application. Further, since the Notice to File Missing Parts was mailed in error, no fee is believed to be due in connection with this Response. If, however, any fee is deemed to be payable, you are hereby authorized to charge any such fee to deposit account 20-0778.

Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

By:

  
Daniel R. McClure; Reg. No. 38,962

Suite 1750, 100 Galleria Parkway  
Atlanta, Georgia 30339-5948  
(770) 933-9500

Filed/Date Mailed: April 8, 2004

Applicant: Wang et al.

Docket #: 250908-1280

Title: Document Processing Method

EM#: EV438687341US

Parent Applic.:

AUG 11 2004

PATENT AND TRADEMARK OFFICE  
STAMP HEREON ACKNOWLEDGES  
RECEIPT OF THE BELOW  
IDENTIFIED APPLICATION AND  
PAPERS:

USPTO STAMP

17302 U.S. PTO

10/820412



040804

Cert. of Mailing: ☐ Regular US Mail  
☒ Express Mail

Fees: ☒ \$810.00

Paym't  
Form

☐ Check  
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☒ Credit Card Paym't Form

EV438687341US

- ☒ Fee Transmittal Page
- ☐ Design Application (Transmittal)  
Pages Spec/Claim/Decl.  
Pages Drawings  
☐ Formal ☐ Informal
- ☐ Provisional Applic. (Trx. Page)  
Pgs. Specification  
Pgs. Drawings OR  
Pgs. Specification & Dwgs  
☐ Small Entity Status Claimed

- ☒ Utility Applic. (Rule 1.53(b))  
☒ Original ☐ Continuation  
☐ Divisional ☐ C-I-P  
☒ Utility Application Trx. Pg.  
9 Pages Specification  
4 Pages of Claims  
1 Page of Abstract  
4 Pages of Drawings  
Figs. 1-4  
☒ Formal ☐ Informal  
☒ Declaration/Power Attny.  
☒ Original ☐ Copy  
☒ Exec. ☐ Unexec.

#### OTHER TRANSMITTED PAPERS

- ☒ Assignment/Cover Sheet  
☐ Small Entity Claimed  
☐ Request for Non-Publicat.  
☐ Preliminary Amendment  
☐ Petition to Secure Filing Date  
☐ Petition to Make Special
- ☐ Other:
- ☐ IDS/Form 1449  
☐ Cited Reference(s) ( )  
☒ Claim of Priority & Subm.  
Of Priority Document  
☒ Certif. Copy Priority Doc.

Person Mailing: HCB

Responsible Atty: DRM

**BEST AVAILABLE COPY**



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: **Wang et al.**

For: **DOCUMENT PROCESSING METHOD**

**CERTIFICATE OF EXPRESS MAIL**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Enclosed for filing in the above case are the following documents:

Return Postcard  
Utility Patent Application Transmittal Page  
Fee Transmittal Page  
Utility Patent Application Consisting Of:  
    9 Pages of Specification  
    4 Pages of Claims (Claims 1-18)  
    1 Page of Abstract  
    4 Pages of Formal Drawings (Fig(s). 1-4)  
Credit Card Authorization Form (Amount: \$810.00)  
Declaration (executed)  
Assignment/Recordation Form Cover Sheet  
Claim of Priority to and Submission of...  
Certified Copy of Priority Document

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

Respectfully submitted,

**Daniel R. McClure, Reg. No. 38,962**

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**  
100 Galleria Parkway, N.W.  
Suite 1750  
Atlanta, Georgia 30339-5948

Our Docket No: **250908-1280**

I hereby certify that all correspondence listed above are being deposited for delivery to the above addressee, with the United States Postal Service "**EXPRESS MAIL POST OFFICE TO ADDRESSEE**" service under 37 CFR §1.10 on the date indicated below:

The envelope has been given U.S. Postal Service "Express Mail Post Office To Addressee" Package # **EV438687341US**.

Date: April 8, 2004

  
**Hui Chin Barnhill**